

INVENTORSHIP DECLARATION BY JOINT INVENTORS

I HEREBY DECLARE THAT:

My residence, post office address and citizenship are stated next to my name in PART A on hereof.

I believe I am the original, first, and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

DNA-TEMPLATED COMBINATORIAL LIBRARY CHEMISTRY

the specification of which:

- ☐ is attached hereto.
- ☒ was filed on October 19, 1999 as Application Serial No. 09/421,422 and was amended on \_\_\_\_\_ (if applicable).

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate listed in PART B hereof and have also identified in PART B on hereof any foreign application for patent or inventor's certificate having a filing date before that of the application of which priority is claimed.

I hereby claim the benefit under Title 35, United States Code §119(e) and §120, of any United States application(s) listed in PART C hereof and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 which occurred between the filing date of the prior application and any national or PCT international filing date of this application.

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Please direct all telephone calls to Peter J. Dehlinger at (650) 324-0880. Address all correspondence to:

Dehlinger & Associates  
P.O. Box 60850  
Palo Alto, CA 94306-0850

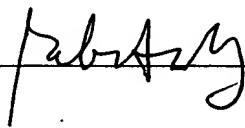
INVENTORSHIP DECLARATION BY JOINT INVENTORS

PART A: INVENTOR INFORMATION AND SIGNATURE

Full name of FIRST inventor: Pehr B. Harbury

Citizenship: U.S.A. Post Office Address: 3748 26th Street  
San Francisco, CA 94110

Residence (if different): Same

Inventor's Signature:  Date: 12/17/99

Full name of SECOND inventor: David R. Halpin

Citizenship: U.S.A. Post Office Address: Hoskins #3B, Escondido Village  
Stanford, CA 94305

Residence (if different): Same

Inventor's Signature:  Date: 12/17/99

PART B: CLAIM TO PRIORITY OF FOREIGN APPLICATION(S) UNDER 35 U.S.C. 119(a-d)

Country	App. No.	Filing Date
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PART C: CLAIM TO PRIORITY OF U.S. PROVISIONAL AND NONPROVISIONAL APPLICATION(S) UNDER 35 U.S.C. 119(e) AND 120

Serial No.	Filing Date		Status
<u>60/104,744</u>	<u>19 October 1998</u>	<input type="checkbox"/>	Patented
		<input checked="" type="checkbox"/>	Pending
		<input type="checkbox"/>	Abandoned

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231, on

Date: 1.7.00

By: 

Docket No. 8600-0197.30

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Pehr B. Harbury, et al.

SERIAL No.: 09/421,422

FILED: October 19, 1999

FOR: DNA-TEMPLATED COMBINATORIAL  
CHEMISTRY

EXAMINER: Unknown

ART UNIT: 1643

Power of Attorney by Assignee and Certification  
Under 37 CFR §3.73(b)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I, the undersigned, acting on behalf of the Assignee of

☐ an undivided share of the entire right, title, and  
interest

☒ the entire right, title and interest

in the above-identified patent application appoint the attorneys and agents listed below to prosecute this application and transact all business with the U.S. Patent and Trademark Office in connection therewith. This appointment is to the exclusion of the inventor(s) and their attorney(s) and agent(s) in accordance with the provisions of 37 CFR 3.71.

All prior powers of attorney for this application are hereby revoked. The appointed representatives are:

Peter J. Dehlinger, Registration No. 28,006  
Judy M. Mohr, Registration No. 38,563  
LeeAnn Gorthey, Registration No. 37,337  
Linda R. Judge, Registration No. 42,702  
Michael L. Gencarella, Registration No. P44,703  
Michael T. Gabrik, Registration No. 32,896

all affiliated with Dehlinger and Associates.

Direct all telephone calls to Peter J. Dehlinger at (650)  
324-0880. Address all correspondence to:

**DEHLINGER & ASSOCIATES**  
P.O. Box 60850  
Palo Alto, CA 94306  
Telephone: (650) 324-0880

In accordance with 37 CFR 3.73(b), I hereby certify that I  
am empowered to act on behalf of the Assignee. To the best of  
my knowledge and belief, title is in the Assignee, as evidenced  
by the Assignment noted above.

I further declare that these statements were made with the  
knowledge that willful false statements and the like so made are  
punishable by fine or imprisonment, or both, under Title 18, USC  
§1001 and that such willful false statements may jeopardize the  
validity of the this application or any patent resulting  
therefrom.

**ASSIGNEE:** The Board of Trustee of the Leland Stanford  
Junior University

Signature: Katharine Ku

Typed Name: KATHARINE KU

Title: DIRECTOR  
TECHNOLOGY LICENSING

Date: \_\_\_\_\_

Address: \_\_\_\_\_

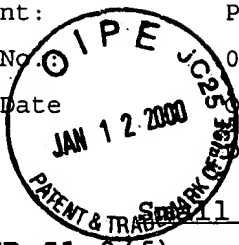
Attorney Docket No.: 8600-0197.30

Applicant: Pehr B. Harbury, et al.

Serial No. 09/421,422

Filing Date October 19, 1999

For: DNA-TEMPLATED COMBINATORIAL LIBRARY CHEMISTRY



**Small Entity Statement Under**  
**37 CFR §1.9(f) and §1.27(c) - Nonprofit Organization**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization: **The Board of Trustees of the Leland Stanford Junior University**

Address: **Stanford, California 94305**

- ☒ University or other institution of higher education.
- ☐ Tax exempt under Internal Revenue Service Code (26 USC §501(a) and §501(c)(3)).
- ☐ Nonprofit scientific or educational under statute of state of the United States of America.
  - ☐ Name of State: ☐ Citation of Statute:
- ☐ Would qualify as tax-exempt under Internal Revenue Service Code (26 USC §501(a) and §501(c)(3)) if located in The United States of America.
- ☐ Would qualify as nonprofit scientific or educational non-profit under statute of state of The United States of America if located in The United States of America.
  - ☐ Name of State: ☐ Citation of Statute:

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9 (e), for purposes of paying reduced fees under 35 U.S.C. §41 to the U.S. Patent and Trademark Office in connection with the invention described in: \*:

- ☐ the specification filed herewith.
- ☒ Application Serial No. 09/421,422, filed October 19, 1999

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR §1.9 (d) or by any concern which would not qualify as an independent inventor under 37 CFR §1.9 (c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR §1.9 (d) or a nonprofit organization under 37 CFR §1.9 (e).

NOTE: Separate Verified Statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR §1.27).

Name: \_\_\_\_\_

Address: \_\_\_\_\_

☐ individual    ☐ small business concern    ☐ nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR §1.28(b)).

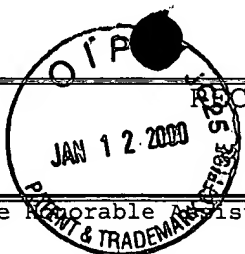
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this statement is directed.

Name of person signing: Mona WanTitle of person signing: Senior AssociateAddress of person signing: 900 Welch Rd. Suite 350 Palo Alto, CA 94304

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

12/3/99



COPY

PATENTS ONLY

To the Honorable Assistant Commissioner for Patents: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):  
Pehr B. Harbury and David R. Halpin

Add'l names of conveying parties attached?  
☐ Yes ☒ No

3. Nature of conveyance: ☒ Assignment  
☐ Merger ☐ Security Agreement ☐ Other  
☐ Change of Name ☐ Reassignment

4. Date(s) of execution: December 17, 1999

2. Name/address of receiving party(ies):  
The Board of Trustees of the Leland Stanford Junior University  
Stanford, California 94305

Add'l names of receiving parties attached? ☐ Yes ☒ No

5. Application number(s) and/or patent number(s):

If this document is being filed with a new application, the date of signature by the first named inventor was: \_\_\_\_\_.

A. Patent Application No.(s)  
09/208,684 filed 12/10/98

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

6. Name and address of party to whom correspondence concerning document should be mailed:

Dehlinger & Associates  
P.O. Box 60850  
Palo Alto, CA 94306  
(650) 324-0880

7. Total No. of applications and patents involved:  
one (1)

8. Total fee (37 CFR §3.41): \$40.00  
☒ Enclosed

9. Total number of pages, including cover sheet, attachments and document: 4

DO NOT USE THIS SPACE

10. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Peter J. Dehlinger  
Name of Person Signing

Peter J. Dehlinger  
Signature

1-7-00  
Date

COPY